

PATENT  
Customer No. 22,852  
Attorney Docket No. 04329.3298

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Yoshio OZAWA et al. ) Group Art Unit: 2822  
Application No.: 10/812,987 ) Examiner: Thanh Y. TRAN  
Filed: March 31, 2004 ) Confirmation No.: 5987  
For: SEMICONDUCTOR DEVICE AND )  
METHOD FOR MANUFACTURING )  
THE SAME )

**RECEIVED**  
FEB 22 2006  
**OFFICE OF PETITIONS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO-SB-08. This Information Disclosure Statement is being filed with a Request For Continued Examination (RCE). A copy of each cited document is enclosed.

The documents listed in this Information Disclosure Statement were first cited in a communication from the Japanese Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication, as required under § 1.97(e).

The following is listed on the accompanying PTO-1449 and is in a non-English language:

1. Japanese Patent Application KOKAI Publication No. 6-204494.

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In lieu of a statement of relevance or translation of the listed non-English language documents, we have supplied an English-language translation of the Office Action in a counterpart Japanese application dated January 30, 2006, citing the documents and setting forth the relevance thereof.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 22, 2006

By:   
Richard V. Burgujian  
Reg. No. 31,744

RVB/FPD/km

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<i>Complete if Known</i>		<b>RECEIVED</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/812,987	FEB 22 2006
(Use as many sheets as necessary)				Filing Date	March 31, 2004	
				First Named Inventor	Yoshio OZAWA	
				Art Unit	2822	<b>OFFICE OF PETITIONS</b>
				Examiner Name	Thanh Y. TRAN	
Sheet	1	of	1	Attorney Docket Number	04329. 3298	

## **U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
		JP 6-204494	07-22-1994			NO

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		Final Notice of Rejection (Office Action) for Japanese Patent Application No. 2003-149335, mailed February 7, 2006 and English translation thereof	Yes

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.